

County of Orange
Summary of Mental Health Services Act Funding, Fund 13Y
As of Fiscal Year 2017-18 (June Final)
REVISED - Final Reconciliation

Purpose: The table below summarizes the revenue, expenditures, and obligations for each of MHSAs components and provides estimated component balances to assist with program strategic planning and budgeting. Details for each component are also available and accompany this summary.

MENTAL HEALTH SERVICES ACT FY 2017-18		Transfers from CSS					Total	Prudent Reserve
		CSS	PEI	INN	WET	CFTN		
Carryover of Funds from FY 2016-17	(1)	117,401,062	34,076,059	27,040,691	351,651	4,337,768	183,207,232	70,921,582
Prior Period Adjustments	(2)	(8,543,741)	(2,364,951)	(117,521)	(351,651)	(597,899)	(11,975,764)	-
RESTATED Carryover funds from FY 2016/17		108,857,321	31,711,108	26,923,170	-	3,739,869	171,231,468	70,921,582
MHSA Allocation Received for FY 2017-18		122,944,077	30,736,019	8,088,426	-	-	161,768,523	
Interest Revenue for FY 2017-18		2,660,843	665,211	175,056	-	-	3,501,110	
Transfers from Community Services and Supports to Other MHSA Subaccounts to Cover Approved Project Expenses	(3)	(7,631,053)	-	-	4,347,838	3,283,215	-	
Total Funding Available for FY 2017-18		226,831,189	63,112,339	35,186,651	4,347,838	7,023,084	336,501,101	70,921,582
Total Expenditures for Approved Projects per FY 2017-18 MHSA Plan		(115,015,034)	(25,102,987)	(1,493,340)	(3,827,380)	(2,974,709)	(148,413,450)	
Program Related County Costs		(16,809,787)	(5,544,490)	(985,738)	(520,458)	(308,506)	(24,168,979)	
Total Program and Administrative Costs		(131,824,821)	(30,647,477)	(2,479,078)	(4,347,838)	(3,283,215)	(172,582,429)	-
Carryover of FY 2017-18 Available Funds		95,006,368	32,464,862	32,707,573	-	3,739,869	163,918,672	70,921,582
Pending Adjustments identified to be recorded in FY 2018-19: Release of amount held in liability account which per AB114 are no longer due back to the State Adjustment required per State to reclassify a portion of Prudent Reserve Funds back to PEI	(4)		248,197 11,343,034				248,197 11,343,034	(11,343,034)
Total for Pending Obligations and Adjustments		-	11,591,231	-	-	-	11,591,231	(11,343,034)
ADJUSTED Carryover of FY 2017-18 Available Funds		95,006,368	44,056,093	32,707,573	-	3,739,869	175,509,903	59,578,548
Estimated New Revenue for FY 2018-19	(5)	119,342,084	29,990,800	7,965,987	-	-	157,298,871	
Estimated Transfers from Community Services and Supports to Other MHSA subaccounts	(5)	(22,494,146)	-	-	5,085,282	17,408,864	-	
Estimated Expenditures	(5)	(148,949,177)	(35,180,044)	(9,400,067)	(5,085,282)	(21,148,733)	(219,763,303)	
Projected Available Funds for FY 2018-19		42,905,129	38,866,849	31,273,493	-	(0)	113,045,471	59,578,548

Mental Health Services Act (MHSA/Prop 63)
Allocation Summary for Fiscal Year 2017-18 (Final)
Community Services and Supports (CSS)

Purpose: To report on the revenues and expenditures by MHSA component per the annual Revenue and Expenditure Report for FY 2017/18 and identify any pending items to provide information needed for strategic planning and programming.

		FY 2016/17	FY 2017/18		Variance (6)	
		Actuals	Budget	FY Estimates	Final-Actuals	Budget to Actuals
Carryover of Funds from Prior Fiscal Year	(1)	97,583,337	117,401,060	117,401,060	117,401,062	2
Adjust for prior period transactions completed in prior fiscal year	(2)	35,355		(9,333,644)	(8,543,741)	-
Revenue for MHSA Allocation		113,304,133	123,561,567	122,944,077	122,944,077	(617,490)
Interest Revenue		1,384,739	1,416,724	2,780,469	2,660,843	1,244,119
Transfers from Community Services and Supports to Other MHSA Subaccounts to Cover	(3)		(12,880,263)	(7,631,053)	(7,631,053)	5,249,210
Total Funding Available		212,307,564	229,499,088	226,160,910	226,831,189	(3,338,178)
Board and MHSA Committee Approved Projects per FY 17-18 MHSA Plan						
Full Service Partnership Programs						
1. Children's Full Service Partnership/Wraparound		4,251,289	6,654,575	5,360,695	5,231,150	(1,423,425)
2. Children and Youth Behavioral Health Program of Assertive Community Treatment			1,100,000			(1,100,000)
3. Transitional Age Youth Full Service Partnership/Wraparound		3,683,437	8,434,468	3,425,351	3,398,537	(5,035,931)
4. Adult Full Service Partnership		24,864,925	16,192,093	26,170,383	28,940,310	12,748,217
5. Adult/Adult Transitional Age Youth Program of Assertive Community Treatment		321,784	8,428,018	814,306	801,558	(7,626,460)
6. Assisted Outpatient Treatment		744,761	5,015,841	692,498		(5,015,841)
7. Mental Health Court-Probation Services		-	921,000			(921,000)
8. Older Adult Full Service Partnership		2,716,050	2,683,249	1,845,645	1,845,645	(837,604)
9. Older Adult Program of Assertive Community Treatment		-	521,632			(521,632)
10. FSP Portion of Non-Admin Programs under Other Programs		15,575,073	12,598,421	15,125,400	15,310,841	2,712,420
Total Full Service Partnership Programs		52,157,319	62,549,297	53,434,278	55,528,041	(9,115,019)
Other Service Programs						
1. Children's In-Home Crisis Stabilization		306,793	325,644	288,206	288,206	(37,438)
2. Children's Crisis Residential		802,825	1,001,474	822,155	822,155	(179,319)
3. Mentoring for Children and Youth		413,985	500,000	489,628	489,628	(10,372)
4. Children's Crisis Assessment Team		1,019,320	637,962	932,950	774,779	136,817
5. OC Children w/Co-Occurring Mental Health & Chronic Acute Severe Physical Illness, Special Needs or Eating Disorders		436,950	1,250,000			(1,250,000)
6. Youth Core Services		447,773	2,500,000	(138,403)	(72,563)	(2,572,563)
7. Children's Co-Occurring Mental Health and Substance Abuse Disorders Residential Treatment		204,710	427,500	354,628	354,628	(72,872)
8. Transitional Age Youth Crisis Residential			74,568			(74,568)
9. Adult Outreach & Engagement		514,325	1,027,973	480,871	1,064,234	36,261
10. Adult/Adult Transitional Age Youth Crisis Assessment Team/Psychiatric Evaluation and Response Team		3,244,823	3,234,483	3,371,144	3,371,813	137,330
11. Adult Crisis Residential		1,062,366	2,448,594	1,478,574	1,768,541	(680,053)
12. Supportive Employment		980,114	1,097,010	973,059	973,059	(123,951)
13. Wellness Centers		2,565,552	2,896,372	2,789,019	2,688,463	(207,909)
14. Recovery Centers/Clinic Recovery Services / Open Access		6,806,480	7,505,360	6,160,354	7,010,632	(494,728)
15. Adult/Older Adult Peer Mentoring		934,528	1,374,888	1,258,483	1,556,730	181,842
16. The Courtyard		146,356	490,000	175,979	175,979	(314,021)
17. Bridge Housing for the Homeless		5,000,000	36,000,000	35,000,000	35,000,000	(1,000,000)
18. Housing/Year-Round Emergency Shelter		111,116	512,693	132,619	84,000	(428,693)
19. Transportation			1,000,000			(1,000,000)
20. Adult and Transitional Age Youth In-Home Crisis Stabilization			1,012,500			(1,012,500)
21. Integrated Community Services		1,667,051	1,848,000	1,435,019	1,435,019	(412,981)
22. Crisis Stabilization Units			3,400,000	1,020	1,020	(3,398,980)
23. Adult Co-Occurring Mental Health And Substance Abuse Disorders Residential Treatment			425,000	264,025	264,025	(160,975)
24. Older Adult Recovery Services		1,278,013	1,286,047	1,339,829	1,343,760	57,713
25. Housing			68,144	65,841	92,885	24,741
26. BHS Co-Located Services			-	-	-	-
Other MHSA Eligible Projects				4,228,956		-
Total Other Service Programs		27,943,080	72,344,212	61,903,956	59,486,993	(10,440,256)
Program Related County Costs		14,806,105	17,818,832	16,597,664	16,809,787	(1,009,045)
Total Program and Administrative Costs		94,906,504	152,712,341	131,935,898	131,824,821	(20,776,443)
Carryover of Available Funds		117,401,060	76,786,747	94,225,011	95,006,368	17,438,264
FY 2018-19 Approved MHSA Plan - CSS Budget	(5)					
Estimated New Revenue for FY 2018-19					119,342,084	
Estimated Transfers from Community Services and Supports to Other MHSA subaccounts					(22,494,146)	
Estimated Expenditures					(148,949,177)	
Projected Available Funds for FY 2019-20					42,905,129	

Mental Health Services Act (MHSA/Prop 63)
Allocation Summary for Fiscal Year 2017-18 (Final)
Prevention and Early Intervention (PEI)

Purpose: To report on the revenues and expenditures by MHSA component and identify any pending items to provide information needed for strategic planning and programming.

		FY 2016/17	FY 2017/18		Variance (6)	
		Actuals	Budget	FY Estimates	Final-Actuals	Budget to Actuals
Carryover of Funds from Prior Fiscal Year	(1)	35,453,774	34,076,059	34,076,059	34,076,059	0
Adjust for prior period transactions completed in prior fiscal year	(2)	(30,747)		(2,419,585)	(2,364,951)	
Revenue for MHSA Allocation		28,326,033	30,890,392	30,736,019	30,736,019	(154,373)
Interest Revenue		497,692	509,460	692,779	665,211	(27,568)
Total Funding Available		64,246,752	65,475,911	63,085,273	63,112,339	
Board and MHSA Committee Approved Projects per FY 2017-18 MHSA Plan						
Early Intervention Programs						
1. Stress Free Families		470,101	534,693	555,913	555,913	21,220
2. 1st Onset of Psychiatric Illness		1,414,835	1,500,000	1,431,728	1,431,728	(68,272)
3. OC Maternal and Family Wellness		1,910,953	2,113,072	1,656,481	1,656,481	(456,591)
4. Early Intervention Services for Older Adults		1,406,881	1,469,500	1,469,855	1,469,855	355
6. Crisis Prevention Hotline		239,933	327,533	430,418	317,575	(9,958)
7. Survivor Support Services		286,799	293,693	271,901	271,901	(21,792)
5. Community Counseling and Supportive Services		1,681,686	2,186,136	1,880,480	1,880,480	(305,656)
8. OC4VETS		1,179,053	1,295,957	792,565	867,618	(428,339)
9. OC ACCEPT		478,383	490,000	520,853	520,853	30,853
17. School Based Mental Health Services		651,816	2,040,665	238,704	238,704	(1,801,961)
19. School Based Behavioral Health Intervention and Support-Early Intervention Services		1,703,956	440,000	437,453	437,453	(2,547)
22. School Readiness and Connect the Tots		1,030,977	1,210,000	1,091,049	1,091,049	(118,951)
Total Early Intervention Programs		12,455,373	13,901,249	10,777,400	10,739,610	(3,161,639)
Prevention Programs						
10. Family Support Services		688,611	282,000	479,509	235,631	(46,369)
11. Parent Education Services		466,120	1,066,000	570,475	570,475	(495,525)
12. Children's Support and Parenting Program		1,398,962	1,800,000	1,386,093	1,629,971	(170,029)
13. Outreach and Engagement Collaborative		2,618,227	2,819,044	2,680,544	2,680,544	(138,500)
14. Behavioral Health Services Outreach and Engagement Services		1,035,195	1,300,000	935,925	935,925	(364,075)
15. WarmLine		450,658	481,566	462,342	462,342	(19,224)
16. College Veterans Programs		79,724	400,000	84,383	84,383	(315,617)
17. School Based Mental Health Services		1,490,476	874,571	1,916,272	1,916,272	1,041,701
18. School Based Behavioral Health Intervention and Support		440,000	1,808,589	1,794,994	1,794,994	(13,595)
20. Violence Prevention Education		1,129,470	1,075,651	985,619	985,619	(90,032)
21. Gang Prevention Services		68,313	253,100	240,041	240,041	(13,059)
22. School Readiness and Connect the Tots		564,469	990,000	575,696	575,696	(414,304)
23. School Based Stress Management Services		154,999	155,000	148,860	148,860	(6,140)
24. Training, Assessment and Coordination Services		143,665	708,610	17,600	132,703	(575,907)
25. Information and Referral / OC Links		745,325	1,000,000	964,569	887,256	(112,744)
26. Training in Physical Fitness and Nutrition		9,045	15,000	1,735	1,735	(13,265)
27. Mental Health Community Educational Events		305,601	214,333	214,333	214,333	-
28. Statewide Projects		900,000	900,000	900,000	866,597	(33,403)
Total Prevention Programs		12,688,860	16,143,464	14,358,990	14,363,377	(1,780,087)
Program Related County Costs		5,026,460	5,408,048	5,548,003	5,544,490	136,442
Total Program and Administrative Costs		30,170,693	35,452,761	30,684,393	30,647,477	(4,805,284)
Carryover of Available Funds		34,076,059	30,023,150	32,400,880	32,464,862	
Pending Obligations/Adjustments:						
Release liability recorded in previous fiscal year	(4)			243,837	248,197	
Reclassify portion of PEI amount moved to Prudent Reserve per State				11,343,034	11,343,034	
Adjusted Carryover of Available Funds Less Known Obligations		34,076,059	30,023,150	43,987,751	44,056,093	
FY 2018-19 Approved MHSA Plan - PEI Budget	(5)					
Estimated New Revenue for FY 2018-19					29,990,800	
Estimated Expenditures					(35,180,044)	
Projected Available Funds for FY 2019-20					38,866,849	

**Mental Health Services Act (MHSA/Prop 63)
Allocation Summary for Fiscal Year 2017-18 (Final)
Innovation (INN)**

Purpose: To report on the revenues and expenditures by MHSA component and identify any pending items to provide information needed for strategic planning and programming.

		FY 2016/17	FY 2017/18		Variance (6)	
		Actuals	Budget	FY Estimates	Final-Actuals	Budget to Actuals
Carryover of Funds from Prior Fiscal Year	(1)	22,574,691	27,040,691	27,040,691	27,040,691	0
Adjust for prior period transactions completed in prior fiscal year	(2)	(1,200,935)		(1,316,630)	(117,521)	
Revenue for MHSA Allocation		7,454,219	8,129,050	8,088,426	8,088,426	(40,624)
Interest Revenue		202,985	207,740	172,857	175,056	(32,685)
Total Funding Available		29,030,960	35,377,481	33,985,345	35,186,651	(73,308)
Board and MHSA Committee Approved Projects per FY 2017-18 MHSA Plan						
Group 3 INN Programs						
1. Continuum of Care for Veteran and Military Children and Families			800,000	1,193	1,193	(798,807)
2. Child-Focused Mental Health Training for Religious Leaders			550,104	-		(550,104)
3. Immigrant Screening and Referrals			650,000	-		(650,000)
4. Whole Person Healing Initiative			2,301,432	-		(2,301,432)
Mental Health Technology Solutions				3,007,428	208,459	208,459
Group 2 INN Programs						
1. Step Forward Project: On-Site Engagement in the Collaborative Courts		276,958	224,015	216,489	216,489	(7,526)
2. Religious Leaders Behavioral Health Training Services		310,975	259,450	265,597	265,597	6,147
3. Strong Families-Strong Children: Behavioral Health Services for Military Families		531,308	445,904	434,322	434,322	(11,582)
4. Behavioral Health Services for Independent Living			437,491	367,280	367,280	(70,211)
Total Innovation Programs		1,119,241	5,668,396	4,292,308	1,493,340	(4,175,056)
Program Related County Costs		871,028	1,020,311	980,454	985,738	(34,573)
Total Program and Administrative Costs		1,990,269	6,688,707	5,272,762	2,479,078	(4,209,629)
Carryover of Available Funds		27,040,691	28,688,774	28,712,582	32,707,573	
FY 2018-19 Approved MHSA Plan -INN Budget	(5)					
Estimated New Revenue for FY 2018-19					7,965,987	
Estimated Expenditures					(9,400,067)	
Projected Available Funds for FY 2019-20					31,273,493	

Mental Health Services Act (MHSA/Prop 63)
Allocation Summary for Fiscal Year 2017-18
Workforce Education and Training (WET)

Purpose: To report on the revenues and expenditures by MHSA component and identify any pending items to provide information needed for strategic planning and programming.

		FY 2016/17	FY 2017/18		Variance (6)	
		Actuals	Budget	FY Estimates	Final-Actuals	Budget to Actuals
Carryover of Funds from Prior Fiscal Year	(1)		-	-	351,651	351,651
Adjust for prior period transactions completed in prior fiscal year	(2)				(351,651)	
Transfers from Community Services and Supports to Cover Approved Project Expenses	(3)	4,547,430	5,150,282	4,355,983	4,347,838	(802,444)
Total Funding Available for FY 2017-18			5,150,282	4,355,983	4,347,838	(450,793)
Board and MHSA Committee Approved Projects per FY 2017-18 MHSA Plan						
1. Workforce Staffing Support		508,876	492,240	1,128,221	1,128,221	635,981
2. Training and Technical Assistance		1,336,693	1,447,674	1,208,980	1,208,980	(238,694)
3. Mental Health Career Pathways Program		857,750	927,000	858,633	858,633	(68,367)
4. Residencies and Internships Program		225,533	238,381	233,560	233,560	(4,821)
5. Financial Incentives Programs		348,895	1,259,351	397,986	397,986	(861,365)
Total Workforce Education and Training Programs		3,277,747	4,364,646	3,827,380	3,827,380	(537,266)
Program Related County Costs		1,269,683	785,636	528,603	520,458	(265,178)
Total Program and Administrative Costs		4,547,430	5,150,282	4,355,983	4,347,838	(802,444)
Carryover of Available Funds		-	-	-	-	-
FY 2018-19 Approved MHSA Plan - WET Budget	(5)					
Estimated Transfers from Community Services and Supports to Other MHSA subaccounts					5,085,282	
Estimated Expenditures					(5,085,282)	
Projected Available Funds for FY 2019-20					-	

Mental Health Services Act (MHSA/Prop 63)
Allocation Summary for Fiscal Year 2017-18
Capital Facilities and Technological Needs

Purpose: To report on the revenues and expenditures by MHSA component and identify any pending items to provide information needed for strategic planning and programming.

		FY 2016/17	FY 2017/18			Variance (6)
		Actuals	Budget	FY Estimates	Final-Actuals	Budget to Actuals
Carryover of Funds from Prior Fiscal Year	(1)	3,739,869	4,528,868	3,739,869	4,337,768	(191,100)
Adjust for prior period transactions completed in prior fiscal year	(2)	597,899			(597,899)	
Transfers from Community Services and Supports to Cover Approved Project Expenses	(3)	3,418,388	7,729,981	-	3,283,215	(4,446,766)
Total Funding Available for FY 2017-18		7,756,156	12,258,849	3,739,869	7,023,084	(4,637,866)
Board and MHSA Committee Approved Projects per FY 2017-18 MHSA Plan						
11. Electronic Health Record (EHR)		2,796,656	3,843,148	2,974,762	2,974,709	(868,439)
Total Workforce Education and Training Programs		2,796,656	3,843,148	2,974,762	2,974,709	(868,439)
Program Related County Costs		621,732	691,767	292,785	308,506	(383,261)
Total Program and Administrative Costs		3,418,388	4,534,915	3,267,547	3,283,215	(1,251,700)
Carryover of Available Funds		4,337,768	7,723,934	472,322	3,739,869	
FY 2018-19 Approved MHSA Plan - CFTN Budget	(5)					
Estimated Transfers from Community Services and Supports to Other MHSA subaccounts					17,408,864	
Estimated Expenditures					(21,148,733)	
Projected Available Funds for FY 2019-20						(0)

Mental Health Services Act (MHSA/Prop 63)
Allocation Summary for Fiscal Year 2017-18 (Final- Reconciled)
Footnotes

NOTES:

The Mental Health Services Act, otherwise known as MHSA, is a restricted funding source received as an allocation from the State. Funds are budgeted based on programs approved by the MHSA Steering Committee and included in the mandated Three-Year MHSA Plan Update which is approved by the Orange County Board of Supervisors (BOS). Amendments to the Plan also require a formal approval process.

Allocations are determined and disbursed by the State and funds not expended within a certain time period are subject to reversion back to the State. (CSS: 76%, PEI: 19%, INN: 5%)

Effective July 1, 2018, the MHSA Fund, Fund 13Y became a CEO Controlled Fund with related fiscal responsibilities being reassigned to the CEO Budget Office.

- (1) The beginning balances were determined through a reconciliation to the FY 2016/17 Revenue and Expenditure Report provided by AC/HCA Accounting and HCA Program Support. For transparency, the beginning balances were reconciled to the ending cash balance as of June 30, 2017, per the County's General Ledger Report.
- (2) Prior period adjustments pertain to transactions for fiscal year business or prior for which the cash was not been disbursed until the following fiscal period.
- (3) Per the MHSA Act, once the initial funding for the WET and CFTN subaccounts has been depleted, future funding is to come from the CSS allocation. To date, WET has utilized all funding previously allocated and funds are transferred from CSS as required to cover eligible expenditures. This line item includes the full amount budgeted. For CFTN, due to the approved plan per AB114, a total of \$3.7M in funds are to be carried over from the original allocation and are to be expended in FY 18/19. This line item shows the full amount budgeted, however, existing balances will be used prior to any intrafund transfers.
- (4) There are two adjustments pending that pertain to FY 2017-18 that will be completed in early 2018-19: (1) Release of an amount held in a liability account as it was anticipated to be due back to the State, however, with the approval of AB114, the funds are no longer due back and are to be returned to the PEI subaccount; (2) Adjustment needed to the amount held in the Prudent Reserve to reclassify PEI funds transferred after the State's allowed timeline.
- (5) Amounts for FY 2018-19 are obtained from the current projections for FY 2018-19 as of December 31, 2018.
- (6) Variances between amount budgeted and actual amount expended are due to various factors including unanticipated revenue offsetting the need for MHSA funds, timing delays for start up of new programs due to contracting, staffing, etc, or for decreased demand in services.